



# Original article

# Periodontal effects and survival rates of different mandibular retainers: comparison of bonding technique and wire thickness

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## **Summary**

**Objectives:** This non-randomized prospective cohort study aimed to compare the periodontal effects and success rates of mandibular canine-to-canine fixed retainers having different bonding techniques and wire thicknesses.

**Materials and methods**: Hundred patients requiring retention after orthodontic treatment were assigned to five study groups (n = 20 in each group, 61 females/39 males, median age range 16.5–18.0 years). Retention was provided by 0.0215"/direct, 0.0215"/indirect, 0.0175"/indirect, 0.0175"/indirect bonded multistranded wires and removable Essix appliances. The primary and secondary outcomes were periodontal effects and success rates. The patients were examined in 1 week, 1 month, 3 months and 6 months follow-up appointments. Plaque index, gingival index, probing depth, marginal recession, bleeding on probing, failure rate per retainer wire and survival of retainer wires were analysed by Kruskal–Wallis H, Mann–Whitney U and chi-square tests.

**Results:** Significant differences were observed between the fixed retainer (FR) and Essix (E) groups in gingival index scores at 1 month [mean FR: 1.13 (95% confidence interval (CI): 0.81-1.44), mean E: 0.40 (95% CI: 0.14-0.69), mean difference: 0.73, P < 0.01], 3 months [mean FR: 0.97/1.01 (95% CI: 0.65-1.30/0.72-1.30), mean E: 0.52 (95% CI: 0.25-0.82), mean differences: 0.45/0.49, P < 0.05], 6 months [mean FR: 0.94 (95% CI: 0.62-1.27), mean E: 0.35 (95% CI: 0.15-0.58), mean difference: 0.59, P < 0.05] and in bleeding on probing scores at 1 month [mean FR: 0.95% CI: 0.15-0.58], mean E: 0.95% CI: 0.15-0.58], mean difference: 0.95% CI: 0.95% CI:

**Limitations**: Six months follow-up period, which demonstrates only short-term outcomes.

**Conclusions:** The periodontal outcomes or survival rates of mandibular fixed retainers were not affected by bonding technique or wire thickness, whereas gingival health improved with Essix retainers but not with fixed retainers.

#### Introduction

Correction of crowded and rotated incisors requires long-term retention to prevent relapse (1). It is reported that 40–90 per cent of orthodontic patients display alignment problems of anterior teeth in

10 years post-retention period and only 10 per cent of orthodontic patients have acceptable mandibular alignment in 20 years post-retention period (2). Therefore, use of retention appliances for maintaining stability after orthodontic treatment is necessary particularly in mandibular anterior region (3). Fixed retention protocols have

become increasingly popular since they were introduced in 1970s due to not requiring that much of patient compliance as removable retention protocols do (4). Fixed retainers also have the advantage of being invisible from the front in addition to providing permanent retention more safely and predictably compared to removable plates (5).

Today, fixed retainers are routinely placed at the end of orthodontic treatment (6). However, some disadvantages are reported related with them such as their sensitive and time-consuming technique of placement, potential for causing tooth movement due to distortion and frequently observed bonding failures (7,8). Failure rates reported for mandibular fixed retainers show a wide range from 5.9 to 53 per cent in the literature (9–14). On the other hand, fixed retainers are shown to increase plaque and calculus accumulation.

A number of studies investigating survival rates or periodontal effects of fixed retainers are present in the current literature (1–20). Nevertheless, a comprehensive evaluation of fixed retainers based on the comparison of bonding technique and wire thickness is insufficient. Therefore, the primary aim of this prospective study was to compare the periodontal effects and success rates of mandibular lingual fixed retainers having different wire thicknesses, which are applied with indirect and direct bonding techniques. The  $H_0$  hypothesis was that differences in retainer wire thickness and bonding technique would not affect periodontal conditions or survival rates, whereas the  $H_1$  hypothesis was that changing the retainer wire thickness and bonding technique would affect periodontal conditions or survival rates. The secondary aim of this study was to compare the periodontal effects of fixed retainers with removable retainers.

#### **Material and methods**

This clinical research was organized as a non-randomized prospective cohort study with parallel group design and 1:1 allocation ratio. The sample size required for the study was calculated prior to the start of the study with power analysis by means of F-test by using data obtained from the literature (2,7). The primary and secondary outcomes of the study were designated as periodontal effects and success rates of fixed retainers, respectively. The primary outcome parameter determined for sample size calculation was gingival index which was measured in GI score. The anticipated mean difference between the groups for this parameter was 0.6 GI score, showing a standard deviation of 0.6 GI score, having a baseline value of 0.8 GI score with large effect size estimation (f = 0.4). The power analysis revealed that a total sample size of 55 (n = 11 per group) was required to distinguish statistically significant differences between the five study groups with a fairly high power of 90 per cent at 0.05 significance level. Sample size estimation was performed by using NCSS and PASS 2000 software (NCSS LLC, Kaysville, Utah, USA). The study was approved by Başkent University Institutional Review Board and Ethics Committee with project number D-KA15/22 Assuming a potential dropout rate greater than 50 per cent during the follow-up period and for increasing the power of the study for other outcome parameters, the sample size was increased to 20 in each of the groups

Hundred consecutive patients who had completed orthodontic treatment between February 2016 and November 2016 in the post-graduate orthodontic clinic of Başkent University, Ankara, Turkey with fixed appliances and required retainers were included in the study. These patients were assigned to the five study groups consecutively in regular turn *via* alteration method by using a predetermined sequence of study groups to be assigned and through allocating only one patient into each study group at every turn, until 20 patients were assigned in each group. They may have presented with any

malocclusion prior to orthodontic treatment and their treatment may have involved extraction or expansion protocols. The inclusion criteria consisted of:

- presence of six mandibular anterior teeth involving four incisors and two canines;
- no caries, restorations, fractures and periodontal disease of mandibular anterior teeth;
- 3. adequate oral hygiene and treatment compliance, determined through questioning and clinical examination.

Supragingival debridement was performed and dental impressions were taken following completion of orthodontic treatment in all groups. 0.0215" multistranded wire was applied with direct technique in the first group and with indirect technique in the second group. 0.0175" multistranded wire was applied with direct technique in the third group and with indirect technique in the fourth group. Retention was achieved with removable Essix appliances in the fifth group.

In the direct bonding groups, five-strand coaxial retainer wires (Orthoform II, 3M Unitek, Monrovia, California, USA) were bent and passively adapted to lingual surfaces of six mandibular anterior teeth on plaster dental casts by the same laboratory technician (Supplementary Figure 1). In the clinic, lingual surfaces of these teeth were pumiced, rinsed with water and dried. Following positioning of the retainer wires, two pieces of ligature wire were attached, passing beneath the contact points of mandibular lateral and canine teeth through gingival embrasures and surrounding the retainer wires. The retainer wires were secured by twisting the ligature wires (Figure 1). Lingual surfaces of mandibular anterior teeth were etched with 37 per cent phosphoric acid for 30 seconds. The etched surfaces were rinsed thoroughly again, dried and bonding adhesive primer was applied (Transbond XT primer, 3M Unitek). The retainer wires were bonded to lingual surfaces of six mandibular anterior teeth using light-cured adhesive resin (Transbond LR, 3M Unitek). Light curing was performed for 10 seconds per each tooth using LED device (Elipar S10, 3M ESPE, Monrovia, California, USA). The ligature wires securing the retainer wires were cut and removed after completion of bonding.

In the indirect bonding groups, the same retainer wires were bent and passively adapted to lingual surfaces of six mandibular anterior teeth on plaster dental casts by the same laboratory technician. Then, plaster dental casts were isolated with separation liquid (Isolant, Dentsply, York, Pennsylvania, USA). The retainer wires were positioned and bonded to lingual surfaces of six mandibular anterior teeth on plaster dental casts with light-cured adhesive resin (Transbond LR, 3M Unitek). Light curing was performed for 10



Figure 1. Immobilization of the retainer wire by two pieces of ligature wires before direct bonding.

seconds per each tooth using the same LED device (Supplementary Figure 2). Transfer trays covering buccal, incisal and lingual surfaces of mandibular anterior teeth, including retainer wires and polymerized adhesive pads, were constructed from transparent silicone (Memosil, Heraeus Kulzer, Wehrheim, Germany). The transfer trays were removed from plaster dental casts after being kept in warm water for 20 seconds (Figure 2). The uncovered surfaces of adhesive resin pads were sandblasted with 50 µ of aluminium oxide to remove the separation liquid and finally cleaned with acetone. In the clinic, lingual surfaces of mandibular anterior teeth were pumiced, rinsed with water, dried and then etched with 37 per cent phosphoric acid for 30 seconds. The etched surfaces were rinsed thoroughly again, dried and bonding adhesive primer was applied (Transbond XT primer, 3M Unitek). Low-viscosity light-cured indirect bonding adhesive (Transbond LV Supreme, 3M Unitek) was applied to the sandblasted surfaces of adhesive resin pads and the transfer trays were positioned on mandibular anterior teeth with a slight pressure (Supplementary Figure 3). Light curing was performed for 20 seconds per each tooth using the same LED device. The transfer trays were cut into two pieces of buccal and lingual using a scalpel and removed carefully after completion of bonding.

In the removable appliances group, Essix retainers of 1 mm thickness were fabricated on plaster dental casts with heat and vacuum applied by an Essix machine. Patients were instructed to use their Essix retainers all day long except while eating.

All fixed and removable retainers were applied; oral hygiene and retainer usage instructions to the patients were given and examinations were made by the same clinician (BG) to ensure the integrity and accuracy of the assessment procedures and to increase the quality of the study. Blinding was not possible due to the nature of this study. The following clinical variables were assessed at the





Figure 2. ( A) Preparation of the transparent silicone transfer tray on plaster dental cast, which covers mandibular anterior teeth and contains the retainer wire with formerly polymerized adhesive pads arranged for indirect bonding technique. (B) Exposure of the uncovered surfaces of adhesive resin pads after removal of the transfer tray from plaster dental cast.

beginning of retention procedures and in 1 week, 1 month, 3 months and 6 months follow-up appointments:

- 1. Plaque index was assessed on the buccal and lingual surfaces for each tooth using a probe as described by Löe (7,21). The average for six mandibular anterior teeth was calculated and analysed. Plaque accumulation was categorized with the following scale: 0: no plaque formation;
  - 1: plaque detectable with a probe along gingival margin;
  - 2: visible plaque formation;
  - 3: abundant amount of plaque.
- 2. Gingival index was assessed on the buccal, lingual, mesial and distal surfaces for each tooth as described by Löe (7,21). The average for six mandibular anterior teeth was calculated and analysed according to the following scale:
  - 0: no inflammation;
  - 1: mild inflammation, slight discolouration, minor oedema and no bleeding on probing;
  - 2: moderate inflammation, glazing, redness, oedema and bleeding on probing;
  - 3: severe inflammation, marked redness, hypertrophy and spontaneous bleeding
- 3. Probing depth was measured with a periodontal probe in millimetres at three locations (mesiolingual, lingual and distolingual) for each tooth as the distance from gingival margin to the most apical part of sulcus. The average for six mandibular anterior teeth was calculated and analysed.
- 4. Marginal recession was measured with a periodontal probe in millimetres at three locations (mesiolingual, lingual and distolingual) for each tooth as the distance from cementoenamel junction to gingival margin. Scores were recorded when cementoenamel junction was visible due to recession. The average for six mandibular anterior teeth was calculated and analysed.
- 5. Bleeding on probing was examined at six locations (mesiolingual, lingual, distolingual, mesiobuccal, lingual and distobuccal) for each tooth and recorded either positive (bleeding observed) or negative (bleeding not observed). Number of mandibular anterior teeth with positive recordings were summed and analysed.
- Failure rate per retainer wire was assessed by examining debonding of adhesive resin or breakage of retainer wires. Average number of detached teeth per retainer wire was calculated and analysed.
- 7. Distribution of failures per each tooth: debonding of adhesive resin observed in each tooth was recorded and presented with numbers and percentages for making comparisons among different teeth.
- 8. Total success rate was assessed by examining survival or failure of retainer wires. Absence of adhesive resin detachment or retainer wire breakage throughout 6 months follow-up period was defined as survival, whereas presence of any of these at any time was defined as failure. Adhesive remnants were removed and rebondings were undertaken at the chair side when detachments occurred.

## Statistical analysis

Data analysis was performed using SPSS for Windows, version 20 (SPSS Inc., Chicago, Illinois, USA). Descriptive statistics were presented with number and percentage for categorical variables such as gender and failure per tooth or retainer wire, whereas they were presented with mean and standard deviation for continuous variables such as age, plaque index, gingival index, probing depth, marginal recession, bleeding on probing and failure rate per retainer wire. *P*-value less than 0.05 was considered statistically significant.

Shapiro–Wilk test was used to determine the normality of variable distribution. Skewness and kurtosis were also checked to understand the nature of the variables. The differences between the study groups were analysed by Kruskal–Wallis *H*-test for continuous variables not showing normal distribution and by chi-square test for categorical variables with frequencies. Mann–Whitney *U*-test with Bonferroni correction was used to distinguish the groups between which statistically significant difference was observed, if Kruskal–Wallis *H*-test revealed a significant difference. Monte Carlo simulation technique was used to analyse categorical variables when 50 per cent of the frequencies counted less than five.

#### **Results**

All of the 100 patients who were found to be eligible and included in the study completed the 6 months follow-up period without any dropouts. Comparisons of the groups according to gender and age are presented in Table 1. The differences between the groups were

**Table 1.** Comparison of gender and age between the groups by chi-square test and Kruskal–Wallis *H*-test, respectively.

	Gender (n, %)		Age (year)			
n	Female/male	P-value	Median, min-max	P-value		
20	12/8, 60/40%		17.5, 15.0–33.0			
20	13/7, 65/35%		18.0, 15.0-24.0			
20	14/6, 70/30%	0.539	16.5, 14.0-40.0	0.187		
20	9/11, 45/55%		17.5, 15.0–28.0			
20	13/7, 65/35%		16.5, 13.0-24.0			
	20 20 20 20 20	n Female/male 20 12/8, 60/40% 20 13/7, 65/35%	n Female/male P-value  20 12/8, 60/40% 20 13/7, 65/35% 20 14/6, 70/30% 20 9/11, 45/55%	n Female/male P-value Median, min-max  20 12/8, 60/40% 17.5, 15.0-33.0 20 13/7, 65/35% 18.0, 15.0-24.0 20 14/6, 70/30% 0.539 16.5, 14.0-40.0 20 9/11, 45/55% 17.5, 15.0-28.0		

 $P \ge 0.05$ , non-significant.

not statistically significant for gender or age. None of the participants had missing data for any of the variables.

Table 2 presents the comparison of plaque index scores between the groups. The mean plaque index scores ranged between 0.04 and 0.35 (95% CI ranged from -0.02-0.10 to 0.11-0.59) during the 6 months follow-up period and were smaller in the Essix retainer group starting from 1 month follow-up appointments. No significant difference was observed in plaque index scores between the groups in any evaluated time interval.

Table 3 reveals the comparison of gingival index scores between the groups. The mean gingival index scores ranged between 0.35 and 1.13 (95% CI ranged from 0.15–0.58 to 0.81–1.44) during the 6 months follow-up period and were smaller in the Essix retainer group starting from 1 month follow-up appointments. Significant differences were observed between the groups in gingival index scores at 1 month (P < 0.01), 3 months (P < 0.05) and 6 months (P < 0.05) follow-up appointments. These differences were detected between 0.0215" Indirect and Essix groups at 1 month, between 0.0215" Indirect and Essix groups at 3 months and between 0.0215" Direct and Essix groups at 6 months.

Tables 4 and 5 show the comparisons of probing depth and marginal recession scores between the groups. The mean probing depth scores ranged between 1.58 and 1.73 mm (95% CI ranged from 1.48–1.66 to 1.71–1.74) and the mean marginal recession scores ranged between 0.00 and 0.03 mm (95% CI ranged from 0.00–0.00 to –0.03–0.10) during the 6 months follow-up period. No significant differences were observed in probing depth and marginal recession scores between the groups in any evaluated time interval.

Table 6 reports the comparison of bleeding on probing scores between the groups. The mean bleeding on probing scores ranged between 0.95 and 3.05 (95% CI ranged from 0.37–1.53 to 2.12–3.98) during the 6 months follow-up period and were smaller in the

Table 2. Comparison of plaque index (PI) between the groups by Kruskal-Wallis H-test. SD, standard deviation; CI, confidence interval.

Time	Group	Mean PI	Median PI	SD	95% CI	Min	Max	P-value
Beginning	0.0215 Direct	0.04	0	0.13	-0.02-0.10	0	0.5	0.661
	0.0215 Indirect	0.11	0	0.25	0.00-0.23	0	1.0	
	0.0175 Direct	0.05	0	0.17	-0.02-0.12	0	0.7	
	0.0175 Indirect	0.08	0	0.23	-0.03-0.18	0	1.0	
	Essix	0.09	0	0.22	0.00-0.19	0	0.7	
1 week	0.0215 Direct	0.06	0	0.23	-0.04-0.17	0	1.0	0.524
	0.0215 Indirect	0.17	0	0.27	0.04-0.28	0	0.7	
	0.0175 Direct	0.11	0	0.24	0.00-0.21	0	0.7	
	0.0175 Indirect	0.14	0	0.32	0.00-0.29	0	1.0	
	Essix	0.13	0	0.26	0.00-0.24	0	0.8	
1 month	0.0215 Direct	0.15	0	0.29	0.01-0.29	0	1.0	0.534
	0.0215 Indirect	0.30	0	0.49	0.07-0.53	0	1.5	
	0.0175 Direct	0.35	0	0.52	0.11-0.59	0	2.0	
	0.0175 Indirect	0.22	0	0.36	0.05-0.39	0	1.0	
	Essix	0.11	0	0.24	0.00-0.22	0	1.0	
3 months	0.0215 Direct	0.18	0	0.33	0.03-0.34	0	1.0	0.418
	0.0215 Indirect	0.25	0	0.38	0.07-0.42	0	1.0	
	0.0175 Direct	0.28	0	0.44	0.07-0.48	0	1.3	
	0.0175 Indirect	0.14	0	0.28	0.01 - 0.27	0	1.0	
	Essix	0.12	0	0.31	-0.02-0.26	0	1.0	
6 months	0.0215 Direct	0.26	0	0.38	0.09-0.45	0	1.0	0.121
	0.0215 Indirect	0.16	0	0.33	0.01-0.32	0	1.0	
	0.0175 Direct	0.25	0	0.40	0.06-0.44	0	1.0	
	0.0175 Indirect	0.12	0	0.27	0.00-0.24	0	1.0	
	Essix	0.05	0	0.22	-0.05-0.16	0	1.0	

Table 3. Comparison of gingival index (GI) between the groups by Kruskal-Wallis H-test. SD, standard deviation; CI, confidence interval.

Time	Group	Mean GI	Median GI	SD	95% CI	Min	Max	P-value
Beginning	0.0215 Direct	0.79	0.7	0.58	0.51-1.05	0	2.0	0.959
	0.0215 Indirect	0.78	0.7	0.75	0.44-1.13	0	2.0	
	0.0175 Direct	0.94	1.0	0.75	0.59 - 1.29	0	2.0	
	0.0175 Indirect	0.82	1.0	0.60	0.56-1.14	0	2.0	
	Essix	0.83	0.7	0.62	0.50 - 1.07	0	2.0	
1 week	0.0215 Direct	0.54	0.3	0.64	0.25-0.85	0	2.0	0.139
	0.0215 Indirect	1.02	1.1	0.67	0.71 - 1.34	0	2.0	
	0.0175 Direct	0.81	0.7	0.53	0.56-1.06	0	1.8	
	0.0175 Indirect	0.83	0.7	0.55	0.55-1.08	0	2.0	
	Essix	0.71	0.7	0.56	0.43-0.99	0	1.7	
1 month	0.0215 Direct	0.75	0.7	0.61	0.48 - 1.04	0	2.0	0.005**
	0.0215 Indirec (α)	1.13	1.2	0.67	0.81 - 1.44	0	2.0	
	0.0175 Direct	0.93	1.0	0.65	0.62 - 1.23	0	2.0	
	0.0175 Indirect	0.86	0.9	0.51	0.63-1.10	0	2.0	
	Essix (α)	0.40	0.3	0.56	0.14-0.69	0	1.8	
3 months	0.0215 Direct	0.63	0.5	0.6	0.36-0.91	0	1.7	0.047*
	0.0215 Indirect (β)	0.97	0.7	0.70	0.65 - 1.30	0	2.0	
	0.0175 Direct	0.87	0.7	0.61	0.57-1.14	0	2.0	
	0.0175 Indirect (θ)	1.01	1.0	0.62	0.72 - 1.30	0	2.0	
	Essix $(\beta)(\theta)$	0.52	0.5	0.58	0.25-0.82	0	2.0	
6 months	0.0215 Direct (Y)	0.94	0.9	0.70	0.62 - 1.27	0	2.0	0.049*
	0.0215 Indirect	0.64	0.5	0.65	0.34-0.95	0	2.0	
	0.0175 Direct	0.86	1.2	0.73	0.52 - 1.20	0	1.7	
	0.0175 Indirect	0.60	0.5	0.57	0.33-0.87	0	1.7	
	Essix (Y)	0.35	0.2	0.44	0.15-0.58	0	1.3	

 $P \ge 0.05$ , non-significant. \*P < 0.05; \*\*P < 0.01. Values with the same symbols  $(\alpha, \beta, \theta \text{ and } \Upsilon)$  show statistically significant difference (P < 0.05).

Table 4. Comparison of probing depth (PD) between the groups by Kruskal-Wallis H-test. SD, standard deviation; CI, confidence interval.

Time	Group	Mean PD	Median PD	SD	95% CI	Min	Max	P-value
Beginning	0.0215 Direct	1.58	1.7	0.20	1.48–1.66	1.0	1.7	0.111
	0.0215 Indirect	1.61	1.7	0.20	1.50-1.68	1.1	1.8	
	0.0175 Direct	1.66	1.7	0.15	1.58-1.71	1.2	1.9	
	0.0175 Indirect	1.64	1.7	0.24	1.52-1.74	1.1	2.0	
	Essix	1.72	1.7	0.07	1.66-1.73	1.6	1.9	
1 week	0.0215 Direct	1.60	1.7	0.18	1.51-1.66	1.2	1.8	0.340
	0.0215 Indirect	1.65	1.7	0.15	1.56-1.70	1.2	1.9	
	0.0175 Direct	1.66	1.7	0.13	1.59-1.70	1.3	1.8	
	0.0175 Indirect	1.64	1.7	0.18	1.55-1.72	1.1	1.9	
	Essix	1.72	1.7	0.08	1.67-1.76	1.6	2.0	
1 month	0.0215 Direct	1.69	1.7	0.05	1.64-1.71	1.6	1.8	0.690
	0.0215 Indirect	1.67	1.7	0.21	1.56-1.74	1.0	2.1	
	0.0175 Direct	1.69	1.7	0.08	1.63-1.71	1.4	1.8	
	0.0175 Indirect	1.71	1.7	0.13	1.63-1.75	1.3	1.9	
	Essix	1.69	1.7	0.02	1.68-1.70	1.6	1.7	
3 months	0.0215 Direct	1.71	1.7	0.02	1.69-1.72	1.6	1.8	0.224
	0.0215 Indirect	1.71	1.7	0.09	1.64-1.73	1.5	2.0	
	0.0175 Direct	1.69	1.7	0.11	1.63-1.73	1.3	1.9	
	0.0175 Indirect	1.73	1.7	0.06	1.71-1.74	1.7	1.9	
	Essix	1.70	1.7	0.03	1.66-1.72	1.6	1.8	
6 months	0.0215 Direct	1.72	1.7	0.06	1.66-1.74	1.6	1.9	0.392
	0.0215 Indirect	1.67	1.7	0.19	1.58-1.75	1.1	2.0	
	0.0175 Direct	1.71	1.7	0.04	1.66-1.72	1.6	1.8	
	0.0175 Indirect	1.72	1.7	0.06	1.68-1.74	1.6	1.9	
	Essix	1.66	1.7	0.13	1.59-1.69	1.3	1.8	

 $P \ge 0.05$ , non-significant.

Essix retainer group starting from 1 month follow-up appointments. Significant difference (P < 0.01) was observed between the groups in bleeding on probing scores at 1 month follow-up appointments. This difference was detected between 0.0215" Indirect and Essix groups.

Table 7 displays the comparison of failure rate (number of detached teeth) per retainer wire between the groups. The mean failure rate per retainer wire scores ranged between 0.00 and 0.30 (95% CI ranged from 0.00–0.00 to -0.15–0.75) during the 6 months

 Table 5.
 Comparison of marginal recession (MR) between the groups by Kruskal–Wallis H-test. SD, standard deviation; CI, confidence interval.

Time	Group	Mean MR	Median MR	SD	95% CI	Min	Max	P-value
Beginning	0.0215 Direct	0.00	0	0.00	0.00-0.00	0	0.0	0.905
	0.0215 Indirect	0.02	0	0.09	-0.02-0.06	0	0.4	
	0.0175 Direct	0.01	0	0.04	-0.01 - 0.02	0	0.2	
	0.0175 Indirect	0.03	0	0.13	-0.03-0.08	0	0.6	
	Essix	0.03	0	0.11	-0.02-0.07	0	0.5	
1 week	0.0215 Direct	0.00	0	0.00	0.00-0.00	0	0.0	0.905
	0.0215 Indirect	0.02	0	0.09	-0.02 - 0.06	0	0.4	
	0.0175 Direct	0.01	0	0.04	-0.01 - 0.02	0	0.2	
	0.0175 Indirect	0.03	0	0.13	-0.03-0.08	0	0.6	
	Essix	0.03	0	0.11	-0.02-0.07	0	0.5	
1 month	0.0215 Direct	0.00	0	0.00	0.00-0.00	0	0.0	0.905
	0.0215 Indirect	0.02	0	0.09	-0.02 - 0.06	0	0.4	
	0.0175 Direct	0.01	0	0.04	-0.01-0.02	0	0.2	
	0.0175 Indirect	0.03	0	0.13	-0.03-0.08	0	0.6	
	Essix	0.03	0	0.11	-0.02 - 0.07	0	0.5	
3 months	0.0215 Direct	0.00	0	0.00	0.00-0.00	0	0.0	0.905
	0.0215 Indirect	0.02	0	0.09	-0.02 - 0.06	0	0.4	
	0.0175 Direct	0.01	0	0.04	-0.01-0.02	0	0.2	
	0.0175 Indirect	0.03	0	0.15	-0.03-0.10	0	0.7	
	Essix	0.03	0	0.13	-0.03-0.09	0	0.6	
6 months	0.0215 Direct	0.00	0	0.00	0.00-0.00	0	0.0	0.905
	0.0215 Indirect	0.03	0	0.11	-0.02 - 0.07	0	0.5	
	0.0175 Direct	0.01	0	0.04	-0.01-0.02	0	0.2	
	0.0175 Indirect	0.03	0	0.15	-0.03-0.10	0	0.7	
	Essix	0.03	0	0.15	-0.03-0.10	0	0.7	

 $P \ge 0.05$ , non-significant.

**Table 6:** Comparison of bleeding on probing (BOP) between the groups by Kruskal–Wallis *H*-test. SD, standard deviation; CI, confidence interval.

Time	Group	Mean BOP	Median BOP	SD	95% CI	Min	Max	P-value
Beginning	0.0215 Direct	2.05	2.0	1.64	1.28-2.82	0	6	0.900
	0.0215 Indirect	2.10	2.0	2.10	1.12-3.08	0	6	
	0.0175 Direct	2.50	3.0	2.14	1.50-3.50	0	6	
	0.0175 Indirect	1.90	1.5	1.77	1.07-2.73	0	6	
	Essix	2.25	2.0	1.65	1.48-3.02	0	6	
1 week	0.0215 Direct	1.75	1.0	1.92	0.85-2.65	0	6	0.275
	0.0215 Indirect	2.75	3.0	1.97	1.83-3.67	0	6	
	0.0175 Direct	2.50	2.5	1.36	1.86-3.14	0	5	
	0.0175 Indirect	2.15	2.0	1.76	1.33-2.97	0	6	
	Essix	1.95	2.0	1.54	1.23-2.67	0	5	
1 month	0.0215 Direct	2.20	2.0	1.74	1.39-3.01	0	6	0.009**
	$0.0215$ Indirec ( $\Omega$ )	3.05	3.0	1.99	2.12-3.98	0	6	
	0.0175 Direct	2.60	2.5	1.96	1.68-3.52	0	6	
	0.0175 Indirect	2.35	2.0	1.42	1.68-3.02	0	6	
	Essix $(\Omega)$	1.15	1.0	1.57	0.42 - 1.88	0	5	
3 months	0.0215 Direct	1.80	1.5	1.70	1.00-2.60	0	5	0.075
	0.0215 Indirect	2.65	2.0	2.13	1.65-3.65	0	6	
	0.0175 Direct	2.40	2.0	1.70	1.61-3.19	0	6	
	0.0175 Indirect	2.85	3.0	1.69	2.06-3.64	0	6	
	Essix	1.50	1.5	1.61	0.75 - 2.25	0	6	
6 months	0.0215 Direct	2.50	2.0	1.99	1.57-3.43	0	6	0.062
	0.0215 Indirect	1.85	1.5	1.73	1.04-2.66	0	6	
	0.0175 Direct	2.45	3.5	2.06	1.48-3.42	0	5	
	0.0175 Indirect	1.75	1.5	1.65	0.98-2.52	0	5	
	Essix	0.95	0.5	1.23	0.37-1.53	0	4	

 $P \ge 0.05$ , non-significant. \*\*P < 0.01. Values with the same symbols ( $\Omega$ ) show statistically significant difference (P < 0.05).

Table 7. Comparison of failure rate per retainer wire (FR) between the groups by Kruskal–Wallis *H*-test. SD, standard deviation; CI, confidence interval.

Time	Group	Mean FR	Median FR	SD	95% CI	Min	Max	P-value
1 week	0.0215 Direct	0.00	0	0.00	0.00-0.00	0	0	1.000
	0.0215 Indirect	0.00	0	0.00	0.00 - 0.00	0	0	
	0.0175 Direct	0.00	0	0.00	0.00-0.00	0	0	
	0.0175 Indirect	0.00	0	0.00	0.00 - 0.00	0	0	
1 month	0.0215 Direct	0.00	0	0.00	0.00-0.00	0	0	0.553
	0.0215 Indirect	0.30	0	1.34	-0.13-0.73	0	3	
	0.0175 Direct	0.05	0	0.22	-0.05-0.15	0	1	
	0.0175 Indirect	0.00	0	0.00	0.00-0.00	0	0	
3 months	0.0215 Direct	0.15	0	0.49	-0.08-0.37	0	2	0.645
	0.0215 Indirect	0.05	0	0.22	-0.05-0.15	0	1	
	0.0175 Direct	0.15	0	0.49	-0.08-0.37	0	2	
	0.0175 Indirect	0.30	0	0.98	-0.15-0.75	0	4	
6 months	0.0215 Direct	0.05	0	0.22	-0.05-0.15	0	1	0.553
	0.0215 Indirect	0.05	0	0.22	-0.05-0.15	0	1	
	0.0175 Direct	0.00	0	0.00	0.00-0.00	0	0	
	0.0175 Indirect	0.00	0	0.00	0.00-0.00	0	0	

 $P \ge 0.05$ , non-significant.

follow-up period. All failures occurred due to debonding at adhesive—enamel interface and none of the retainer wires were completely detached, deformed or broken. No significant difference was observed in failure rate scores between the four fixed retainer groups in any evaluated time interval.

Distribution of failures per each tooth is presented in Supplementary Table 1 and total success rates of retainer wires for 6 months follow-up period is presented in Table 8. The survival rates of retainer wires were 85 per cent for the 0.0215" direct and indirect groups, whereas they were 90 per cent for the 0.0175" direct and indirect groups. The difference between the groups in total success rates was not statistically significant.

# **Discussion**

Fixed lingual retainers are frequently used to prevent relapse after orthodontic treatment. Therefore, their influence on periodontal health, ability to maintain post-treatment stability and factors affecting their survival rate has been investigated by a great number of studies (1-4,7-20,22-24). Nonetheless, a study evaluating the influence of both wire thicknesses and bonding technique of fixed retainers in comparison with a removable retainer does not exist in the literature. For this reason, this prospective study intended to compare the periodontal outcomes and failure rates of mandibular lingual fixed retainers having different wire thicknesses and applied with different bonding techniques in conjunction with removable Essix retainers.

The five-strand coaxial retainer wire design and adhesive primer/ resin system used in this study were the same in both thick/thin wire and direct/indirect bonded fixed retainer groups to eliminate the influence of wire design and adhesive material differences on the parameters evaluated. Additionally, all retainer wires were bent by the same laboratory technician and all supragingival debridements were performed, retainers were bonded, instructions to the patients were given and examinations were made by the same clinician to eliminate the influence of technician- and clinician-related factors.

The variances observed between the groups for gender and age were both statistically insignificant and clinically unimportant, with a maximum difference of 25 per cent for gender and 1.5 years for

**Table 8.** Total success rates of retainer wires for the 6 months follow-up period.

	Total	Survival		Failure			
Group	n	n	%	n	%	P-value	
0.0215 Direct	20	17	85	3	15	0.928	
0.0215 Indirect	20	17	85	3	15		
0.0175 Direct	20	18	90	2	10		
0.0175 Indirect	20	18	90	2	10		

 $P \ge 0.05$ , non-significant. Chi-square test and Monte Carlo simulation technique is used for analysis.

age. Hence, the groups were comparable according to demographic

The plaque index assessment indicated that only minimal deposits of plaque were observed and oral hygiene was good in mandibular anterior region in all study groups. Very slight increases were observed in the plaque index scores starting at 1 week and continuing until 3 months follow-up appointments in the fixed retainer groups, which were clinically unimportant. This small increase in deposits of plaque, which shows an increasing trend only in the first 3 months, can be explained with the delayed adaptation period of the patients that probably ended as a result of the ongoing instructions given to them in the follow-up appointments. No significant difference was observed in plaque index scores between the five groups in any evaluated time interval. This result is comparable with the literature, but the plaque index scores observed in this study were smaller and no other study compared the outcomes of different bonding techniques or retainer wire thicknesses (1,2,7,23). Therefore, this result is unique for this study. The smaller plaque index scores observed in this study may be attributed to the standardized detailed instructions given to the patients at the beginning of the retention phases by the same clinician.

The gingival index assessment indicated that gingival health conditions in mandibular anterior region did not change during the retention phases in the fixed retainer groups but improved in the Essix retainer group. The gingival index scores remained approximately the same in all fixed retainer groups during the 6 months follow-up

period, whereas a clinically detectable decrease was observed in the Essix retainer group starting at 1 month follow-up appointments. Hence, significant differences were observed between the groups in gingival index scores at the 1, 3 and 6 months follow-up appointments. This result suggests that fixed retention appliances prevent improvement of gingival health conditions during retention phase, which is independent from wire thickness or bonding technique. This finding is similar to the literature, but the gingival index scores observed in this study were slightly smaller and the outcomes of different bonding techniques or retainer wire thicknesses were not evaluated in other studies (1,2,7,23). Thus, this finding is also unique for this study. The smaller gingival index scores observed in this study may also be attributed to the standardized instructions given to the patients at the beginning of the retention phases.

Assessment of probing depth and marginal recession indicated that probing depth remained within normal limits and no recession occurred in gingival margin during the retention phases. The probing depth and marginal recession scores did not show any change in fixed retainer or Essix retainer groups during the 6 months follow-up period. Therefore, no significant differences were observed in probing depth and marginal recession scores between the five groups in any evaluated time interval. These results reveal that neither fixed lingual nor removable Essix retainers cause detrimental effects in periodontal tissues that lead to increase in probing depth or marginal recession. This study examined the changes that occurred in periodontal conditions due to usage of fixed or removable retainers only for short term, which was limited with 6 months. The probing depth and marginal recession results obtained in this study were compatible with the short-term results but incompatible with the long-term results of other studies, as they observed increase in probing depth and marginal recession within 5 to 11 years retention phases (2,7). Besides different bonding techniques or retainer wire thicknesses were not of concern in those studies, which makes these results also unique for this study.

Bleeding on probing showed a gradual decrease in the Essix retainer group, whereas irregular changes were observed in the fixed retainer groups during the retention phases. Thus, significant difference was observed between the groups in bleeding on probing scores at the 1 month follow-up appointments. This finding suggests that removable Essix retainers cause decline in gingival bleeding and improvement of gingival health conditions during retention phase, whereas fixed retention appliances do not cause a change, independent from wire thickness or bonding technique. This finding is comparable with the finding of Dietrich *et al.* (2); however, their study evaluated the periodontal outcomes of  $0.016 \times 0.016$ " maxillary retainer wires within a 7 years retention phase retrospectively. Hence, no similar study design evaluating bleeding on probing is present in the literature.

No detachments were observed in the 1 week follow-up appointments. On the other hand, seven detachments were observed in the 1 month follow-up appointments and 13 detachments were observed in the 3 months follow-up appointments. Number of detachments was decreased to only two in the 6 months follow-up appointments. The decrease observed in the number of detachments after the first 3 months may be explained with the adaptation period of the patients. These findings are consistent with the findings of other studies having different methods, which stated that failure rate was inversely associated with survival time and the highest numbers of failures were observed soon after bonding of fixed retainers (8-10,12-14,16,22,24,25). The greatest number of detachments were observed in the right central (n = 6), left central (n = 5) and

left lateral (n = 6) teeth, whereas the sum of the detachments observed in the right and left canines was only four. This result is also compatible with other studies, although the retainer wire designs, bonding techniques and observation periods in those studies are different (3,8,16).

Numbers of detached teeth per retainer wire were similar in all fixed retainer groups during the retention phases. Therefore, no significant difference was observed in failure rate per retainer wire between the four fixed retainer groups in any evaluated time interval. On the other hand, the survival rates of retainer wires were 85 per cent for the 0.0215" direct and indirect bonding groups, whereas 90 per cent for the 0.0175" direct and indirect bonding groups for the 6 months follow-up period. The difference between the groups was not statistically significant for the survival rates of retainer wires. These findings are similar with the findings of other studies, which compared the survival and failure rates of direct/indirect bonded (3,8,16) or thick/thin wired (10,13) fixed retainers, separately. Nevertheless, a study comparing the failure rate of direct and indirect bonding together with thick and thin fixed retainers having the same wire design is not present in the literature and this is another unique finding of this study. The survival rates of retainer wires were higher and the failure rates were lower in this study compared to the other studies; however, the wire designs, bonding techniques, observation periods and study methods were not comparable (1,3,4,8-10,12-14,16,22,26). The higher survival and lower failure rates obtained in this study may again be attributed to the very detailed instructions given to the patients at the beginning of the retention phases.

The prospective design of this study allowed for more comprehensive examination of the time-related changes in periodontal conditions in addition to failure patterns and frequencies compared to retrospective studies in which data are generally collected from patient files and are less detailed. On the other hand, dropouts are one of the biggest problems of prospective retention studies, because patients are often unwilling to attend follow-up appointments as they consider active treatment is completed. Nonetheless, no dropouts were observed during the follow-up periods in any of the group of this study, as the follow-up appointments were reminded to the patients in advance and either confirmed or rescheduled.

The 6 months follow-up period is one of the limitations of this study, since it can demonstrate only the short-term periodontal outcomes and failure rates of mandibular fixed retainers along with removable Essix retainers. Lack of blinding is the other limitation of this study, since it can cause the evaluator to make measurements in favour of one of the methods assessed. Another limitation of the study could be anticipating a standard deviation of 0.6 GI score for the primary outcome parameter during sample size calculation, which is equal to the anticipated mean difference between the groups for this parameter. This limitation was tried to be eliminated by increasing the sample size to n = 20 per group, despite the power analysis revealing that n = 11 was required. Being unable to know the real usage time for removable retainers may also be a limitation of the study, which makes the results obtained in the Essix retainer group unclear whether they are due to the retainer itself or due to lack of patient compliance with the advised retainer usage protocol. This situation was tried to be minimized by encouraging the patients to write down the duration of Essix retainer wear each day and by motivating them when lack of regular usage was observed. A possible confounding factor could be unreported supragingival debridements performed by other dentists throughout the 6 months follow-up period, which could damage the study outcomes. This situation was avoided by explaining the detrimental effects of this

procedure during the study period and asking the patients to postpone it until the end of the study period. On the other hand, the generalizability of the findings of this study is limited to the operator experience, retainer designs, materials and placement techniques. Both the periodontal outcomes and the survival rates could be different if the same procedures were applied by an experienced operator in private practice rather than a postgraduate student working in a university clinic.

#### **Conclusions**

- Retainer wire thickness did not make a difference in the periodontal outcomes or survival rates of mandibular fixed retainers.
- Direct or indirect bonding technique did not make a difference in the periodontal outcomes or survival rates of mandibular fixed retainers.
- 3. The H<sub>0</sub> hypothesis suggesting that differences in retainer wire thickness and bonding technique does not affect periodontal conditions or survival rates was accepted, whereas the H<sub>1</sub> hypothesis suggesting that changing the retainer wire thickness and bonding technique affects periodontal conditions or survival rates was rejected.
- Gingival health improved and bleeding on probing decreased with mandibular Essix retainers but not with fixed retainers.
- Lower incisors were more susceptible to detachments compared to canines.
- 6. The survival rates were 85 per cent for 0.0215" and 90 per cent for 0.0175" retainer wires for 6 months follow-up period.

# **Supplementary material**

Supplementary Table 1: Distribution of failures per each tooth.

Supplementary Figure 1: The retainer wire passively adapted on plaster dental cast, which is prepared for direct bonding technique.

Supplementary Figure 2: The retainer wire passively adapted and bonded to mandibular anterior teeth on plaster dental cast, which is prepared for indirect bonding technique.

Supplementary Figure 3: The transfer tray positioned on mandibular anterior teeth after applying low viscosity indirect bonding adhesive to the uncovered surfaces of adhesive resin pads for indirect bonding technique.

Supplementary Data: The complete data set of the study. Supplementary data are available at the *European Journal of Orthodontics* online.

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#### **Conflict of interest**

None to declare.

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